



UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 DENVER REGIONAL OFFICE  
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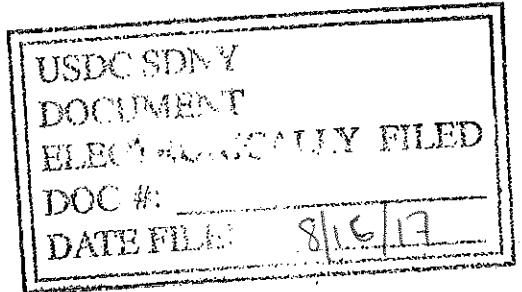
DIVISION OF  
 ENFORCEMENT

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MEMO ENDORSED

August 16, 2017

Honorable Denise Cote  
 U.S. District Court for the  
 Southern District of New York  
 Daniel Patrick Moynihan United States Courthouse  
 500 Pearl Street, Room 1610  
 New York, NY 10007



Re: *SEC v. Alpine Securities Corporation, 1:17-CV-04179-DLC*

Dear Judge Cote:

The Securities and Exchange Commission (“SEC”) respectfully requests the Court adjourn the initial pretrial conference scheduled for September 8, 2017. Undersigned principal trial counsel for the SEC in this case has a trial in U.S. District Court for the District of Colorado that was recently delayed and rescheduled to begin on August 22. The estimated length of trial is approximately three weeks which could mean that SEC counsel will be in trial on September 8. The SEC is requesting that the initial pretrial conference be adjourned to avoid this potential conflict.

Consistent with this Court’s order regarding the initial pretrial conference, counsel for the SEC has conferred with counsel for Alpine and confirmed that Alpine consents to the proposed adjournment. Counsel for the parties are available for a rescheduled conference on the following Fridays over the next month: September 15, September 29 (before 2:00 pm), and October 6. Counsel for Alpine has expressed a preference for holding the conference on October 6. If these proposed dates are not available, counsel will gladly submit additional available dates and times on succeeding Fridays.

*The conference is adjourned  
 to 9/15 at 2:30 pm.  
 Yours truly  
 Zachary T. Carlyle  
 8/16/17*

Cc: All counsel of record

Sincerely,

/s/ Zachary T. Carlyle

Zachary T. Carlyle  
 Senior Trial Counsel